

No. RECPDCL/Tech/Survey-UP/ 2020-21/ 2287

Dated: 01.12.2020

Notice Inviting Tender
(Invited through e-Tendering mode only)

For

**SELECTION OF AGENCIES FOR BASE LINE SURVEY IN ALL GRAM PANCHAYATs OF 35
DISTRICT of UTTAR PRADESH TO IMPLEMENT JAL JEEVAN MISSION**

REC Power Distribution Company Limited

(A wholly owned subsidiary of REC, a 'Navratna CPSE' Under the Ministry of Power, Govt of India)
CIN No. of RECPDCL-U40101DL2007GOI165779

Corporate office

4th Floor Kribhco Bhawan
A-10, Sector-1 Noida (UP)
Website: www.recpdcl.in

Description of task, e-tender submission format and procedure is provided in the Tender document available on RECPDCL website (www.recpdcl.in), REC website (www.recindia.com), e- tendering website (www.tenderwizard.com/REC), (www.eprocure.gov.in)

Important Dates	
Date of Release of Tender	01.12.2020
Last date of submission of Tender	08.12.2020 up to 14:30 Hours
Date of Opening of Technical Bid	08.12.2020 up to 15:00 Hours
Date of Opening of Financial Bid	To be intimated later

Note:

Online registration shall be done on e-tendering website i.e. www.tenderwizard.com/REC in general, activation of registration may takes 24 hours subject to the submission of all requisite documents required in the process.

-Sd-
(Valli Natarajan)
Addl. C.E.O.

[This document is meant for the exclusive purpose of Agencies against this bid and shall not be transferred, reproduced or otherwise used for purposes other than that for which it is specifically issued.]

INDEX

Sl.NO.	Section	Particulars	Page no.
1	SECTION-I	IMPORTANT INFORMATION	3
2	SECTION-II	PREFACE	4
3	SECTION-III	INSTRUCTIONS TO BIDDING AGENCIES	6
4	SECTION-IV	DETAILED SCOPE OF WORK	8
5	SECTION-V	PRE-QUALIFICATION CRITERIA	12
6	SECTION-VI	GENERAL CONDITIONS OF BID	13
7	SECTION-VII	BID EVALUATION METHODOLOGY	14
8	FORM I	LETTER FOR SUBMISSION OF BID	20
9	FORM II	GENERAL CRITERIA DETAILS	21
10	FORM III	DECLARATION FOR PRICE	22
11	ANNEXURE I	LETTER OF TRANSMITTAL	23
12	ANNEXURE II	BID BANK GUARANTEE (EARNEST MONEY)	24
13	ANNEXURE III	PERFORMANCE BANK GUARANTEE	25
14	ANNEXURE IV	INTEGRITY PACT	27
15	ANNEXURE V	PROJECT COMPLETION SCHEDULE	32
16	ANNEXURE VI	DETAILS OF DISTRICTS/ HABITATIONS IN RESPECTIVE PACKAGES	33
17	ANNEXURE VII	UNDERTAKING TOWARDS NOT BEING BLACK-LISTED	34
18	ANNEXURE VIII	POWER OF ATTORNEY	35
19	ANNEXURE IX	BASELINE SURVEY FORMAT	36

SECTION-I
(IMPORTANT INFORMATION)

Name of the assignment: Selection of Agencies for Base Line Survey in All Gram Panchayats of Uttar Pradesh to Implement Jal Jeevan Mission

Important information

S. No	Event	Date/ Information
1	Date of Release of Tender	01.12.2020
2	Last date of submission of Tender	08.12.2020 up to 14:30 Hours
3	Date of Opening of Technical Bid	08.12.2020 up to 15:00 Hours
4	Date of Opening of Financial Bid	To be intimated later
5	Bid document	The Bid document can be downloaded and viewed from any of the website: www.recpdcl.in (or) www.recindia.nic.in (or) www.eprocure.gov.in (or) (www.tenderwizard.com/REC) at free of cost.
6	Validity of BID Documents	90 days from the last date of bid submission
7	Earnest Money Deposit (EMD)#	Rs. 2,50,000
8	Address for Bid Submission	Addl. Chief Executive Officer, REC Power Distribution Company Limited, 4 th Floor Kribhco Bhawan A-10, Sector-1 Noida (UP) Phone No : 0120-4383783, Fax: 0120-4383754 Email- co@recpdcl.in
9	Contact Person	Shri Sunil Bisht, Chief Manager (Tech.), REC Power Distribution Company Limited (RECPDCL) Phone: 0120-4383759; Email- co@recpdcl.in

#The EMD of unsuccessful bidder/s will be returned within 15 days from the award of contract and EMD of successful bidder will also be returned after acceptance of work order & submission of PBG of requisite value.

Exemption for payment of EMD amount will be given to Micro, Small & Medium Enterprises (MSMEs)/ National Small Industries Corporation Ltd. (NSIC). However, relevant valid document/ Certificate from NSIC or Ministry of MSMEs, Govt. of India is required to be submitted without which bidders are not entitled for exemption.

Note: Agencies already engaged by RECPDCL for this project will not be considered for evaluation and bid submitted by these agencies will summarily rejected.

SECTION-II

PREFACE

1. INTRODUCTION:

About REC Power Distribution Company Limited (RECPDCL):

REC Power Distribution Company Limited (RECPDCL) is an ISO 9001:2015 (Quality Management System), ISO 14001:2015 (Environmental Management System), OHSAS 18001:2007 (Occupational Health & Safety) Certified, a wholly owned subsidiary of REC Limited (Formerly Rural Electrification Corporation Ltd), a “Navratna CPSE” under the Ministry of Power, Govt. of India.

RECPDCL is engaged in providing value added consultancy services in power sector arena covering Power Generation, Renewable Energy Sector and Energy Efficiency programs including Govt. of India's power schemes for power utilities across the country and various regulatory assignments with CERC/SERCs. It includes the project works under Rural Electrification, Project Management Consultancy (PMC) works, Detailed Project Report (DPR) preparation for R-APDRP/DDUGJY/RGGVY/NEF and other power project scheme, Third Party Inspection of DDUGJY/ RGGVY/other projects, Feeder Renovation Program, Feeder separation, HVDS program, Lender's Engineers assignment, IT related assignments in Distribution sector including Energy Audit, Evaluation study for HVDS/Distribution network, AT&C Loss assessment, System study, MRI based billing and Cost Book Data Preparation.

RECPDCL is currently engaged in providing Project Management Consultancy / Project Implementing Agency services to various Discoms across India under different Govt. of India schemes viz., DDUGJY, IPDS, BRGF, Infra projects, R-APDRP, Renewable Energy, Energy Efficiency programs, and other miscellaneous Consultancy assignments.

2. INTENT AND OBJECTIVE

Water is one of the most essential requirement of life. Assured availability of potable water is vital for human development and growth. With the growing population needs and expanding economic activities, there is an increase in demand for water in various sectors, viz. agriculture, industry, domestic, recreation, infrastructure development, etc., whereas the source of water is finite. Thus, finite availability and competing demands make drinking water management a complex issue. The widening demand-supply gap is further compounded by other challenges, viz. depletion of groundwater caused by over-extraction, poor recharge, low storage capacity, erratic rainfall due to climate change, presence of contaminants, poor Operation and Maintenance (O&M) of community in water supply systems, etc. Piped water supply for drinking and domestic purposes to rural areas is a very critical and challenging task.

The 73rd Amendment to the Constitution of India has placed the subject of drinking water in the Eleventh Schedule and has assigned its management to Gram Panchayats. In this backdrop, Jal Jeevan Mission (JJM) has been launched which aims at providing Functional Household Tap Connection (FHTC) to every rural household by 2024. The programme focuses on service delivery at household level, i.e. water supply on regular basis in adequate quantity and of prescribed quality. Keeping this in view, under JJM, Gram Panchayats and local community will play the pivotal role in planning, implementation, management, operation and maintenance of in-village water supply systems including drinking water sources. Decentralized, demand-driven, community-managed implementation of the programme will instill 'sense of ownership' among the local community, create an environment of trust and bring in transparency leading to better

implementation and long-term O&M of water supply systems. It will also ensure equity in accessing supply for every household and regular supply, thus willingness to pay for services. The incidents of diversion of water supply for other purposes will also get controlled.

It is envisaged that with FHTC, each household will have potable water supply in adequate quantity of prescribed quality on regular and long-term basis. The base line survey is most important activity which helps Gram Panchayat / village committee to plan, implement, manage, operate and maintain its in-village water supply systems to insure functional house hold tap connection to each and every house hold

With the above objective, RECPDCL is intending to engage agency (ies) for providing services for carrying out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to Implement Jal Jeevan Mission for Department of Namami Gange and Rural Water Supply, Government of Uttar Pradesh. The agencies are required to get the work done through experienced personnel with professional skills and resources to provide the services required by the RECPDCL in timely and efficient manner on the terms and conditions set herewith.

Decision of RECPDCL in regard of the invitation of bid will be final and binding to the agency, RECPDCL reserves the right to add/delete items in scope/nature of work at its discretion.

SECTION-III

INSTRUCTIONS TO AGENCIES

SUBMISSION PROCESS OF BID DOCUMENTS:

A. Downloading & viewing of Tender Document:

Bidders can download and view tender document from RECPDCL web site www.recpdcl.in (or) e-tender website www.tenderwizard.com/REC (or) REC website www.recindia.nic.in (or) Central Public Procurement Portal www.eprocure.gov.in at free of cost.

B. Participation through e-Bid Submission:

Bidders shall submit their Bid documents online through website www.tenderwizard.com/REC

- 1) In order to participate in e-Bid submission, it is mandatory for agencies to have log-in User ID and Password. For this purpose, the agency has to register with RECPDCL through tender Wizard website as per procedure given below.

Steps for Online Registration:

- (i) Go to website <https://www.tenderwizard.com/REC>
- (ii) Click the link 'Register Me'
- (iii) Enter the details about the E-tendering as per format
- (iv) Click 'Create Profile'
- (v) System will provide / confirmation with Login ID and Password

Note:

- While accessing tenderwizard.com website, please type 'REC' in capital letters only to get access of e-tender portal.
- Activation of On-Line registration may take about maximum 24 hours. It is the responsibility of the bidder to register in advance.

- 2) Please note that the agencies have to obtain digital signature token for applying the bid. Bidders may also obtain the same from Tender Wizard.

Steps for applying for Digital Signature from Tender Wizard:

Download the Application Form from the website <https://www.tenderwizard.com/REC>. Follow the instructions as provided therein. In case of any assistance you may contact RECPDCL officials whose address is given in this tender document.

C. Submission of Bid Documents:

Submission of bids will be through online e-tendering mode on website www.tenderwizard.com/REC and Hard copy of EMD, Integrity Pact, Power of Attorney shall be submitted at following location on or before the last date of submission on or before the stipulated time:

**Addl. Chief Executive Officer
REC Power Distribution Company Ltd.
4th Floor Kribhco Bhawan,
A-10, Sector-1, Noida
UP-201301.**

Agencies should upload Bid documents (scanned copies) as mentioned below. Online submission of Bid documents is mandatory.

- 1) **Letter for Submission of Bid** has to be submitted on Company's letterhead duly signed and stamped as per format of **Form-I**. This is mandatory document for submission.
- 2) Documents in support of **Pre-Qualification Criteria** as per Section-V of NIT shall be uploaded through online mode and the same shall be submitted in hard ***be submitted before last date & time of submission of bid.***
- 3) **Bidder's General Details** has to be submitted on Company's letterhead duly signed and stamped as per format of **Form-II**.
- 4) **Letter for Transmittal** has to be submitted on Company's letterhead duly signed and stamped as per format of **Annexure-I**.
- 5) **Earnest Money Deposit (EMD) of Rs. 2,50,000** has to be deposited in the form of Demand Draft (DD) in favour of 'REC Power Distribution Company Ltd.' payable at New Delhi (**OR**) in the form of Bank Guarantee (Bid Bank Guarantee) from a scheduled bank as per format **Annexure-II**. Scanned copy of DD or BG has to be uploaded and ***original of DD or BG has to be submitted before last date & time of submission of bid.*** Exemption for payment of EMD amount will be given to Micro, Small & Medium Enterprises (MSMEs) registered with National Small Industries Corporation Ltd. (NSIC) or any other body as specified by Ministry of MSME. However, relevant valid document/ Certificate from NSIC or any other body as specified by Ministry of MSME, Govt. of India is required to be submitted without which bidders are not entitled for exemption. In case of non-receipt of EMD in original before last date & time of submission of bids, the submitted bids will be rejected.
- 6) 2 sets of Integrity Pact on Rs. 100 Stamp paper as per format **of Annexure-IV in original has to be submitted before last date & time of submission of bid.**
- 7) Project Completion Schedule as **Annexure-V**
- 8) Undertaking towards not being blacklisted as per format of **Annexure-VII**.
- 9) **Power of Attorney** has to be submitted issued by the Bidding Company in favour of the authorized person signing the Bid as per format of **Annexure-VIII**. Scanned copy of Power of Attorney has to be uploaded and ***original has to be submitted before last date & time of submission of bid.***
- 10) **Price Bid** has to be submitted **through online mode only** as per format of **Form-III**.

Documents to be submitted in case of Award of Work.

- 1) Contact Details of Owners of the Company along with Project In-charge from the company.
- 2) **Performance Bank Guarantee** has to be submitted by bidder within 5 days from the award of LoA as per **Annexure-III**.
- 3) Work Progress report on Daily, Weekly Basis. Format will be shared at the time of award of work.

Note: All the documents should be addressed to.

**Addl. Chief Executive Officer
REC Power Distribution Company Ltd.
4th Floor Kribhco Bhawan,
A-10, Sector-1, Noida UP-201301**

(Note: All papers that comprise the Bid document of the concerned Bid must be numbered. An index of each page should also be provided)

SECTION-IV
DETAILED SCOPE OF WORK

A. SCOPE OF WORK

1. The major objective of this assignment is to conduct Base line Survey in all Gram Panchayats (GPs) covering all habitations in 35 districts of UP. The Survey covers socioeconomic, demographic and sector related information on water and sanitation. The Survey has to be taken up in a software application. Survey has to be done under the following four modules for each Gram Panchayat:-
 - a) Gram Panchayat Level
 - b) Revenue Village Level
 - c) Habitation Level
 - d) Household Level
2. All Revenue Villages, Habitations and Households in the Gram Panchayat as per the Government records have to be covered under the survey.
3. The Application software/ Mobile application will be provided by RECPDCL to captures the data in all modules including GPS location and photograph of the persons interviewed. It has various cross checks and validations incorporated due to which records could be flagged and the same have to be rechecked and verified by the competent authorities. Random cross verification & sample checking for records/survey will be conducted will be authenticated by the RECPDCL. In case of any addition/ updation / deletion of the record, the same will be done at the SWSM level by agency, necessary support in will be provided by RECPDCL.
4. Jal Jeevan Mission (JJM) has been launched which aims at providing Functional Household Tap Connection (FHTC) to every rural household by 2024. The programme focuses on service delivery at household level, i.e. water supply on regular basis in adequate quantity and of prescribed quality. This necessitates to conduct base line survey for successful planning, implementation and O&M of water supply schemes through Gram Panchayat / local community to insure 100% house hold coverage and service delivery.
5. The selected Agency will work on behalf of RECPDCL under the State Water & Sanitation Mission (SWSM), Department of Namami Gange & Rural Water Supply, Government of Uttar Pradesh. The agency is specifically expected to facilitate the baseline survey of allotted district GP's.
6. The Tender is invited for 35 districts covered in 8 divisions of two zones (Zone 3 and Zone 4) of the State:

Sr. No.	Name of District	No. of Blocks	Approx. nos. of Villages	Approx. nos. of habitations	Approx. nos. of Rural House Holds
1	Auraiya	7	776	1928	257545
2	Etawah	8	691	2418	2958
3	Faurukhabad	7	1008	2160	184026
4	Kannauj	7	774	2217	203281
5	Kanpur Dehat	11	1049	2230	38472
6	Kanpur Nagar	10	1017	2078	24602
7	Firozabad	10	798	2049	226041
8	Agra	15	902	2749	366060
9	Mainpuri	9	823	2716	233896
10	Mathura	10	721	1532	245346
11	Jhansi	8	740	1182	208802
12	Lalitpur	6	692	1327	181316
13	Jalaun	9	853	1075	299169
14	Chitrakoot	5	597	2310	130784
15	Banda	8	659	2609	252072
16	Hamirpur	7	501	739	167870
17	Mahoba	5	440	657	113956
18	Aligarh	12	1210	2036	266246
19	Etah	8	864	2086	186392
20	Mahamaya Nagar (Hathras)	7	656	1362	244905
21	Kanshiram Nagar (Kasganj)	7	699	1526	134438
22	Baghpat	6	322	334	201359
23	Bulandsahar	17	1252	1724	421311
24	GB Nagar	5	324	499	106205
25	Ghaziabad	5	219	255	78650
26	Meerut	12	673	749	311385
27	Hapur	4	339	394	149299
28	Bijnore	11	2983	3188	561383
29	Jyotibha Phule Nagar (Amroha)	7	1124	1285	257646
30	Moradabad	9	1170	1325	324443
31	Rampur	6	1150	1559	272893
32	Sambhal	8	927	1198	278545
33	Muzaffarnagar	9	693	806	420387
34	Saharanpur	11	1591	1846	497986
35	Shamli	5	332	501	149577
Total		291	29569	54649	7999246

Above said numbers are tentative in nature & may decrease or increase. Actual nos. shall be conveyed at the time of award of work.

Outline of the Tasks to be performed:

7. All Gram Panchayats (details shall be provided at the time of award) covering all habitations in 35 districts of UP will be area of Baseline Survey. The following tasks are expected to be performed under this assignment:-
- Formation of District wise teams for conducting Baseline Survey.
 - Training/ Orientation of the enumerators on use of the application.
 - Baseline survey in the Gram Panchayats using mobile phones of a required specification and having internet facility for smooth running of application provided by RECPDCL.
 - Collection and Submission of data in both Hard and Soft as per attached format at Annexure-IX and also in Mobile application provided by RECPDCL.
 - Cross checking/ validating the flagged records with the help of SWSM and District officials.
 - A certificate from the respective Gram Pradhan of each village is to be submitted ensuring that each and every household of the village is covered under the survey.**

8. Team Composition:

Sl. No.	Details of Manpower	Qualification	Experience
1	Surveyors (10 teams Per Block and Two Persons in each team)with Smart Phones i.e. 20 persons in each block	Graduate / Intermediate	Experience of using mobile/Android technology

Manpower indicated above is minimum required manpower in each district/block which is to be surveyed. Number of surveyor may increase as per the need of the project. Each manpower shall have smart phone with internet connectivity capable of running application software provided by RECPDCL for baseline survey work.

The bidder should have sufficient number of skilled manpower such as Team leader/Supervisor/ Civil Engineers/ surveyors/data operators etc. which shall be in co-ordination with RECPDCL to deliver the required output within the timeline as per the project requirement.

Inputs by the SWSM/ RECPDCL:

- District wise list of Gram Panchayats.
- Letter of introduction to the District Officials for ground level support
- Introductory presentation on the Project to the Consultant.

Note: Scope & nature of work is indicative only; however, RECPDCL reserves the right to add/delete as per the requirement of RECPDCL.

9. Timeline & DELIVERABLES

The assignment is for a period within 30 days from the date of award of work.

Sl. NO.	Report	Output / Content	Time Schedule
1	Inception report	Details of team deployed for survey, action plan, survey infrastructure and basic data of assigned districts.	Within 2 days of the issuance of LoA.
2	First Interim	Soft copy of baseline data of 50% GP's of	Within 14 days of the

	Report	assigned districts.	issuance of LoA.
3	Second Interim Report	Soft copy of baseline data of 100% GP's of assigned districts.	Within 25 days from the date of issuance of LoA
4	Submission of Final Report	Final Report in soft and hard copy of complete baseline survey.	Within 03 days from the Date of receiving of comments on first and second interim report by RECPDCL/SWSM

1. **Any changes or additional work as may be required by SWSM/RECPDCL as the case may be is to be carried out by agency without demur/protest/damage.**
2. **COORDINATION:** Agency shall inform the name & address of the Nodal Officer who will report about their regular daily progress & performance of the assignment. Daily progress report as per the requirement of RECPDCL should be sent to **RECPDCL corporate office & Project Office** in prescribed Performa. In case, absence of any information is adversely affecting the progress of work, the issue could be escalated to **Addl. CEO, RECPDCL.**

B. Performance/Progress Evaluation

1. The Bidder is required to submit the Time Schedule/Plan of implementation of the assignment with details of manpower deployment as per **Annexure-V**. The Time Schedule/Plan should be prepared in such a way that work may start within 01 day from the date of issue of work order and survey work as per scope of work/requirement of RECPDCL in the allotted districts including submission of reports shall be submitted within **30** days. Agency may propose their milestone targets to complete the work before schedule.
2. The agency concerned shall also provide Name/Contact Nos./Email IDs/Fax No. of all Key management officials.
3. Completion of assignment in time is most important element of the contract. The progress of work shall be evaluated on daily basis vis-à-vis proposed milestone target for completion of work. Submission of quality survey reports will be the criteria with which the progress of the work will be measured.
4. **Sample checking of Survey Reports etc. shall be done by RECPDCL team and if any deviation is found unreported or incorrectly reported by the agency concerned, then RECPDCL will ask the agency for re-submission either of the particular survey reports/complete households/ village after revisiting the locations. or data as decided by RECPDCL.**

SECTION-V
Pre-Qualification Criteria

S. No	Minimum Qualification Criteria	Documents Required
1	<p>The bidder shall be a private/public Company registered Under Company Act 1956 or 2013 including any amendment thereto or Partnership/proprietorship/LLP firm in India.</p> <p>The Bidder should have been in operation in India for a period of at least for 5 years.</p>	<p>Certificate of Incorporation and Registration needs to be submitted along with the bid.</p>
2	<p>Bidder should have successfully carried out similar assignments of Survey work in India for any Central/ State Government/ Semi Government/ Public Sector Undertaking/ Private Companies during last four financial years (FY 2016-17, 2017-18, 2018-19, 2019-20), having minimum contract value as below:</p> <p>(i) single work order/Contract Value of not less than Rs. 87.50 Lakhs or</p> <p>(ii) Two work orders/Contract Value of not less than Rs. 55 Lakhs each</p> <p>Similar Work shall mean work related to water supply survey, Household/ rural electrification survey, CENSUS Survey, UIDAI/ Aadhar survey, Door to Door Survey/household for Implementation of Various Govt. schemes/programme</p>	<p>Bidder should submit copy of Work order (mandatory) & Any document out of the following:</p> <p>(i) Payment receipts (ii) Proof of release of performance security after completion of the contract (iii) Proof of settlement/release of final payment against the contract (iv) Certificate for successful completion of Work/Performance report by the client.</p> <p>In addition to above, in case bidder have successfully executed similar projects of in India for Private Company(ies), certificate issued by statutory Auditor in this regard duly signed and stamped reflecting contract value, name of client, work details etc. to be submitted</p>
3.	<p>Bidder's average annual turnover of Rs. 76.55 Lakhs for last four financial years (FY 2016-17, 2017-18, 2018-19, 2019-20)</p>	<p>Attach documentary evidence (Audited balance sheet/ certificate for chartered accountant) for confirmation regarding turnover with summary as cover page on company's letterhead. The turnover refers to a company and not the composite turnover of its subsidiaries / sister concerns etc.</p> <p>In case audited balance sheet of FY 2019-20 is not available then balance sheet of FY 2015-16 shall be submitted</p>
4	<p>The bidder should not be black listed by any Govt./Semi Govt./State Govt./PSU etc.</p>	<p>Undertaking regarding this should be provided by the bidder as per Annexure-VII</p>

SECTION-VI
GENERAL CONDITIONS OF BID

1. The bidder should submit the documents through e-tendering mode for evaluation of their bids. Original of requisite EMD to be submitted in original on or before last date of submission of Bids, fulfilling the above conditions will only be evaluated by the duly constituted evaluation committee. Bids of the bidders not fulfilling the conditions given above may be summarily rejected. Undertaking for subsequent submission of any of the above documents will not be entertained under any circumstances.
2. RECPDCL reserves the right to accept or reject any or all requests for NIT invitation/ engagement without assigning any reason or to accept in parts and engage more than one agency at its sole discretion.
3. Acceptance of the application(s) constitutes no form of commitment on the part of RECPDCL. Furthermore, this acceptance of the application confers neither the right nor an expectation of minimum order within the proposed project.
4. RECPDCL reserve the right to accept the whole or its part of part of any responses with any short fall at its sole discretion.
5. RECPDCL reserve the right to call for fresh tenders/ bid invitation at any stage and /or time as per the present and /or envisaged RECPDCL requirements even if the tender is in evaluation stage or in any stage.
6. RECPDCL reserve the right to modify, expand, restrict, scrap, re-float the tender without assigning any reason for the same.
7. The responder shall bear all costs associated with the preparation and submission of its response, and RECPDCL will in no case be responsible or liable for these costs, regardless of the conduct or the outcome of the tender process.
8. Consortium and joint venture in any form are not allowed. Also, bidders have to note that no sub-contracting / sub-letting is allowed.
9. RECPDCL reserves the right to waive any irregularities; accept or reject the whole; accept or reject part of; any or all responses at its sole discretion without assigning any reason whatsoever.
10. No price escalation is applicable on account of any statutory payments increase or fresh imposition of custom duty, GST like IGST, CGST, SGST, UTGST or duty leviable in respect of the major components of the system/services.
11. Bidder's quoted rates should be firm and fixed. No price variation and escalation will be allowed.
12. Bids must be submitted in English language only.
13. Incomplete, telegraphic or conditional tenders are not accepted.
14. If due to any reason, the due date is declared as a holiday, the tender will be opened on next working day at the same time
15. The Bids with validity of less than 90 days from the last date of bid submission shall not be considered The validity can be further extended as per requirement of RECPDCL
16. Any or all Bids may be rejected or accepted partially or fully without assigning any reason thereof by Chief Executive Officer, RECPDCL Bidders are requested to watch out RECPDCL website for change of events/additional information from time to time
17. Canvassing in any manner is strictly prohibited. The same will lead to rejection of the submitted bid
18. Bidders are requested to watch out RECPDCL website for change of events/additional information from time to time.

SECTION-VII
BID EVALUATION METHODOLOGY

1. Preparation of Financial Bids

- a) The Agency should upload Bid document with duly signed copy of the requisite documents through digital signature.
- b) The Bidders are required to submit the complete financial bid documents through e-tendering only after satisfying each and every condition laid down in the tender documents. Other forms the bid shall be summarily rejected.
- c) Do not upload Financial Bid document with any other bid documents. Financial bid has to be uploaded separately.
- d) All rates should be in figures and in words. In case of discrepancy between the words and the figures the rate indicated in words shall prevail.
- e) Rates quoted should be firm and fixed. No price variation and escalation will be allowed.

2. Submission of Financial Bid:

- a) Scan copy of "Earnest Money Deposit" along with letter of transmittal should be uploaded in portal.
- b) Original EMD are to be submitted as on and before the last date of the submission.
- c) Financial Bid should be submitted through e-tendering mode and duly digitally signed by the authorized person, giving full name of the firm with its current business address. The letter of authorization shall be indicated by written Power-of-Authority/ Authorization Letter accompanying the bid.
- d) Bidders have to quote their rates strictly as per the financial bid format enclosed as form-III and should be submitted/ uploaded through online/e-tendering mode only including all taxes & duties and GST. Financial bids will be evaluated based on total price quoted.
- e) RECPDCL reserves the right to reject any or all tenders or drop part of tender without assigning any reasons whatsoever.
- f) The quotation shall be valid for entire contract period/completion of the Assignments.
- g) The bidders should satisfy themselves before submission of the bid to RECPDCL that they understand and satisfy each and every condition laid down in the bid document.

3. OPENING AND EVALUATION OF FINANCIAL BID:

- a) Financial Bids of bidders meeting pre-qualification criteria will be opened on the date and time indicated in this document in the presence of bidders or their authorized representatives who desire to be present.
- b) Price Bids (Financial Bids) of Bidders whose EMDs received in original (DD or BG) within due date/time will only be opened. Rest of the Financial bids without submission of requisite EMDs in original (DD or BG) within due date/time will not be opened.
- c) If due date of receipt of Financial Bids/ opening of Financial Bids happens to be a closed holiday, the bids would be received and opened on the next working day, but the time of receipt and of opening will remain the same.
- d) REC PDCL reserves the right to postpone and/or extend the date of receipt/opening of Financial Bids or to withdraw the Financial Bid notice, without assigning any reason thereof. In any such cases, the bidders shall not be entitled to any form of compensation from the Company.
- e) Financial Bids shall be evaluated on the basis of total price inclusive of all taxes and duties quoted as per Form-III.
- f) **Allocation of Quantity:**
 - i. Based on total price quoted by the bidders, RECPDCL shall arrange the bids in the ascending order i.e. L1, L2, L3, _ _ _ (L1 being the lowest quote).

- ii. Up to 16% of the tentative quantity will be allocated to the L1 successful bidder. Quantity of Households will be kept in such manner that bidder will be assigned total HH's of a district(s). Accordingly, this percentage may vary slightly while awarding work district(s) wise. However, allocation of districts shall be at sole discretion of RECPDCL and the same shall be bound to agency.
- iii. For further allocation, (after allocating the quantity to the L1 bidder) based on total price quoted by the bidders, RECPDCL shall arrange the bids in the ascending order i.e. L2, L3, L4 _ _ _ _ and so on (L2 being the second lowest quote and so on) and agencies will be asked to match L-1 rate within 1 day.
- iv. From amongst the willing bidders who agrees and submit their unconditional consent to match the L1 rates, On receipt of the same RECPDCL will prepare a list of agencies again in ascending order in line with their original quoted price/rate. Accordingly, RECPDCL shall allocate the district/HHs in such a manner that approx. 6-10 agencies shall get equal amount of works approximately. However, under no condition, other bidders will get more than quantity allocated to L-1 bidder.
- v. An indicative list for allocation of work is enclosed as Annexure-VI, however, RECPDCL reserve right to change/ modify the list at its sole discretion and all decision of RECPDCL regarding allocation of households/districts/package will be final and binding to agencies.
- vi. However, RECPDCL reserves right to select no. of successful bidders at its sole discretion as per requirement of the project.
- vii. If certain quantity remains unallocated, RECPDCL may re-distribute such quantity among the successful bidders (to whom quantity is already allocated) in orderly manner at its sole discretion.
- viii. RECPDCL at its sole discretion may also allocate more than 16% of the tentative quantity to L1 successful bidder with due consent of such bidder in case of requirement of project.
- ix. If the successful bidder(s), to whom Allocation Letter/Work Order (s) has been issued does not fulfil any of the conditions specified in bid document or demonstrating unsatisfactory progress/work, then RECPDCL reserves the right to annul/cancel the award of Allocation Letter/Work Order(s) of such successful bidder and allocate such quantity to other performing bidders in orderly manner.

Note: In case of Tie for Lowest rate, i.e. on opening of price bid two or more agency have quoted same price and the price being lowest price (L-1), then quantity will be first allocated among these L-1 agencies with preference to the agency having higher Average Annual turnover as per pre-qualification criteria, thereafter other bidders will be called for rate matching.

4. COMMERCIAL TERMS, CONDITIONS & OTHER PROVISIONS

- a) If it is found that the tax quoted is higher than the applicable tax, in that case applicable taxes will only be paid by RECPDCL and if the tax quoted is lower than the applicable tax, in that case only the quoted taxes will be paid by the RECPDCL.
- b) Bidders have to quote as per financial bid format as above indicating all taxes. Financial bids will be evaluated based on total price quoted. At the time of release of payment to the bidder, TDS/Labour cess will be deducted as the case may.
- c) The Price shall remain FIRM till entire contract period/ completion of assignment.
- d) Price quoted by bidders with any deviation or any conditionality, the offer will be treated as incomplete and will be rejected.
- e) The offer must be kept valid for a period of 90 days from the last date of bid submission. No escalation clause would be accepted. The validity can be further extended as per requirement of RECPDCL.
- f) Any variation in rates, prices or terms during validity of the offer shall lead to forfeiture of the EMD/ PBG of the said bidder.

5. Payment terms:

The payment to the Bidder for the performance of the works under the contract will be made by RECPDCL as per the guidelines and conditions specified herein. The total payment will be made on completion of all the works and on fulfilment by the agency obligations under the contract subject to acceptance by RECPDCL.

The payment will be made to successful Bidder after the award of work, in the following Manner: -

- a) No advance payment shall be made
- b) 30% payment will be released on completion of 50% work awarded and submission of deliverables subject to acceptance by RECPDCL.
- c) Balance 70% will be released after submission and acceptance of final deliverables as per rate approved by RECPDCL and based on the final quantity of households as accepted by SWSM/RECPDCL.
- d) At the time of release of payment to the bidder, TDS/ /Labour cess, Income Tax and any other taxes etc. as may be applicable from time to time during the currency of contract shall be deducted at source at the time of making payment.

8. Earnest Money Deposit (EMD)

- a) The Bidder shall furnish Earnest Money Deposit in original of Rs. 2,50,000 in the form of Demand Draft/ Bank Guarantee (BG) from a scheduled bank (as per Annexure-II) drawn in favour of REC Power Distribution Company Ltd. payable at New Delhi.
- b) In case of inadequacy or non-submission of EMD amount, the Bid shall be deemed to be disqualified and summarily rejected in the technical evaluation.
- c) The initial validity of EMD shall be for a period of 90 days from the last date of bid submission. The validity of EMD shall have to be suitably extended, if necessary, as per requirement of RECPDCL, without which the Financial Bid /work order shall be rejected.
- d) Request for adjustment of Earnest Money Deposit against any previous dues with RECPDCL will not be considered.
- e) EMD will be refunded to the unsuccessful bidders within 30 days after finalization of the tender without any interest.
- f) EMD of successful bidder will be returned after acceptance of work order and submission of required Performance Bank Guarantee (PBG) within 7 days from the date of LOA.
- g) Exemption for payment of EMD amount will be given to Micro, Small &Medium Enterprises (MSMEs) registered with National Small Industries Corporation Ltd. (NSIC). However, relevant valid document/ Certificate from NSIC or Ministry of MSMEs (Udyog Aadhar) need to be submitted without which bidders are not entitled for any kind of exemption.
- h) The Bid Bond shall be forfeited without prejudice to the Bidder being liable for any further consequential loss or damage incurred to RECPDCL under following circumstances:
 - i. Hundred percent (100%) of EMD amount, if a Bidder withdraws/revokes or cancels or unilaterally varies his bid in any manner during the period of Bid Validity specified in the tender document.
 - ii. Hundred percent (100%) of EMD amount, if the Successful Bidder fails to unconditionally accept LOA within 5 days from the date of its issue.
 - iii. Hundred percent (100%) of EMD amount, if the Successful Bidder fails to furnish the Performance Bank Guarantee as specified in the tender document.

9. Liquidated damages(LD) for delay for completion of work

- i. In the event of failure to complete the assignment within the stipulated completion period of maximum one week will be provided to complete the assignment subject to acceptance of RECPDCL. Thereafter a penalty of 0.1% of contract value per day will be levied on the agency subject to maximum of 10%. If the work is further delayed then the performance security of the bidder will be confiscated and the contract will be terminated.
- ii. In case of continued non-satisfactory performance, RECPDCL have the right to withdraw the work & get completed the work at the risk and cost of the agency. Further the agency maybe blacklisted for a period of one year or more for participating in any of the bids invited by RECPDCL. Also, RECPDCL would be free to intimate such black listing to various state/central utilities/ Ministry of Power/State Governments/other agencies not to consider the said agency for any assignment including publishing of the same information on websites.

10. Service Level Agreement

The timely completion of the assignment is the essence of the contract, accordingly, the agency had to cover a minimum number of Household's per week i.e. The agency had to complete a minimum of **25%** of allotted Household's on weekly basis. In case the SLA commitment is not met, same shall attract a penalty @ Rs. 100000 per week or part thereof. The penalty amounts shall be recovered from the payments due to the vendor. However, the total liability of the agency under this clause shall be restricted to 10% of the contract value as awarded. Also, SLA penalty shall be separate and in addition to LD penalty.

A sample calculation is given below: If the actual number of Household survey in week is 20% of the allotted households and the penalty shall be calculated as: $\text{Rs. } 100000 \times \{(25 - 20) / 100 \times 7\} = \text{Rs. } 35000/-$

11. PERFORMANCE BANK GUARANTEES (PBG):

1. Within 5 days from the date of issue of LOA, successful bidder must deposit Performance Bank Guarantee (PBG) fees @ **10%** of the total contract value to RECPDCL as per format Annexure-III with validity till completion of assigned work as per requirement of RECPDCL.
2. The said PBG will be refunded after the completion and submission of high quality documentary/short film, subject to completion of all work as specified in tender.
3. The PBG shall be forfeited as follows without prejudice to the Bidder being liable for any further consequential loss or damage incurred to RECPDCL:
 - a) Hundred percent (100%) PBG amount furnished, if the Successful Bidder fails to submit deliverables as per scope of work and to the satisfaction of RECPDCL
 - b) Hundred percent (100%) PBG amount furnished, if all-inclusive work are not carried out as specified in the tender document for successful completion of the assignment.
 - c) In all the above cases corresponding uncompleted work shall stand cancelled.

12. Deviation:

The bidder must comply with the Scope of work, all terms and conditions & milestone target for execution of work as per bid document. No deviation on the lower side in this regard shall be accepted. In case of any deviation, Bids shall be summarily rejected.

- a) Bidders may be present in person or may send their authorized representative with authorization letter at the time of opening of bid as per schedule. No further intimation shall be given if there is no change in the schedule. It is expected that all bidder shall attend the opening of bids. However, bids shall be opened and decision shall be taken even in absence of representative if the bid opening is not attended.
- b) RECPDCL reserves the right to reject any offer in full or in part & award the work to one or more than one bidders, without assigning any reason there of and without incurring any liability to the affected bidders for the action of RECPDCL.

12. CONTRACT

1. Duration/ Period of Contract:

The contract period will be for 30 days from the date of the award of contract by RECPDCL including submission of reports. In case duration of the contract is required to be extended for the reason beyond control of agency the same shall be done on same rates, terms & conditions at the sole discretion of RECPDCL.

2. Termination of Contract

- a. The contract shall remain in force as per the award of work or till satisfactory completion of awarded work, whichever is earlier.
- b. RECPDCL at its discretion may terminate the contract on grounds of non-performance by the vendor to provide services as per SLA or the agency is acting in anyway prejudicial to the completion of project or on adoption of unethical practices, the contract may be terminated partly or fully by giving 5 days' notice and the balance works shall be got executed at the risk & cost of the agency and RECPDCL also reserve right to forfeit the PBG.
- c. In case of default in services or denial of services, RECPDCL, at its sole discretion, will be free to avail services of other service providers at the "Risk & Cost" of the defaulter.
- d. RECPDCL may at any time terminate the Contract by giving written notice to the selected Vendor, without compensation to the selected Vendor, if the selected Vendor becomes bankrupt or otherwise insolvent, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to RECPDCL.
- e. RECPDCL may by written notice sent to the selected Vendor, terminate the purchase/work order and/or the Contract, in whole or in part at any time of its convenience. The notice of termination shall specify that termination is for RECPDCL's convenience, the extent to which performance of work under the purchase/work order and /or the Contract is terminated, and the date upon which such termination becomes effective. RECPDCL reserves the right to elect:
 - to have any portion completed at the purchase/work order and/or the Contract terms and prices; and/or
 - to cancel the remainder and pay to the selected vendor an agreed amount for partially completed services.
- f. In the event the vendor's company or the concerned division of the company is taken over/bought over by another company, all the obligations under the agreement with RECPDCL, should be passed on the compliance by the new company new Division in the negotiation for their transfer.

13. FORCE MAJEURE

The RECPDCL and Agency shall ensure due compliance with the terms of this tender/Work order. However no party shall be liable for any claim for any loss or damage whatsoever arising out of failure to carry out the terms of the tender/Work order to the extent that such a failure is due to force Majeure events which include fire, riot, strike, and lockout, forces of nature, accident, and act of God. But any party claiming the benefit of this clause shall satisfy the other

party of the existence of such an event and give written notice within 72 hrs .of occurrence to the other party to this effect. The services covered under this tender/Work order shall be started as soon as the condition of force majeure ceases to exist against the particular party to this tender/Work order.

14. DISPUTES RESOLUTION & ARBITRATION

1. Disputes under the agreement shall be settled by mutual discussion.
2. However, in the event amicable resolution or settlement is not reached between the parties, the differences of disputes shall be referred to and settled by the Sole Arbitrator to be appointed by CEO, RECPDCL.
3. The arbitration proceedings shall be in accordance with the prevailing Arbitration and Conciliation Act, 1996 and Laws of India as amended or enacted from time to time.
4. The venue of the arbitration shall be New Delhi, India.
5. The fee & other charges of Arbitrator shall be shared equally between the parties.
6. The Arbitrator will give the speaking & reasoned award. The party will not be entitled to any pendent lite interest during arbitration proceedings.

15. JURISDICTIONS OF COURTS ETC.

The Courts/ any other Tribunal or Forum in Delhi/ New Delhi alone shall have exclusive jurisdiction with regard to any matter/dispute relating to or arising out this contract.

16. SUB-CONTRACTING

Agencies to which work is awarded are not allowed to Sub-contract the work to any other parties either in part or full.

17. LETTER OF TRANSMITTAL

Letter of transmittal as per enclosed format "**Annexure-I**" to be submitted along with EMD In a sealed envelope for each Package separately.

Letter for Submission of Bid

To,

**Addl. Chief Executive Officer
REC Power Distribution Company Ltd.
4th Floor Kribhco Bhawan, A-10,
Sector-1, Noida (UP)-201301**

**Sub.: To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh
to Implement Jal Jeevan Mission**

Sir,

1. With reference to your Tender No. ----- dated ----- for selection of Agency To Carry out Base Line Survey In All Gram Panchayats of 35 districts of Uttar Pradesh To Implement Jal Jeevan MISSION, I wish to apply for engagement with RECPDCL

Further, I hereby certify that

- a) I have read the provisions of the all clauses and confirm that notwithstanding anything stated elsewhere to the contrary, the stipulation of all clauses of Tender are acceptable to me and I have not taken any deviation to any clause.
- b) I further confirm that any deviation to any clause of Tender found anywhere in my Bid, shall stand unconditionally withdrawn, without any cost implication whatsoever to the REC PDCL.
- c) Our bid shall remain valid for period of 90 days from the last date of bid submission.

Date:

Place:

Signature:

Full Name:

Designation:

Address:

Note: In absence of above declaration/ certification, the Bid is liable to be rejected and shall not be taken into account for evaluation.

BIDDER'S GENERAL DETAILS
(To be submitted on Company's letterhead duly signed)

**To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to
Implement Jal Jeevan Mission**

GENERAL DETAILS

No. No. RECPDCL/Tech/Survey-UP/ 2020-21/...

Dated: xxxxxx.2020

1. THE FIRM : _____

2. Name : _____

3. Regd. Address:

a)Address of Office:_____

b) Contact Person's

i. Name & Design.:

ii. Address : _____

iii. Tel No. Landline Mobile: _____

iv. Email ID: _____

4. Type of Firm (Please tick): Private Ltd./Public Ltd./Cooperative/ Partnership/ Proprietor

5. PAN No.: _____

6. GST Reg. No.: _____

7. E.M.D. Details : Rs. _____ DD No. _____

Name & Address of Bank: _____

Signature.....

Full Name.....

Designation.....

Address.....

FINANCIAL BID Format**(To be submitted in online mode only)**

To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to Implement Jal Jeevan Mission

Financial Bid:-

Name of the Bidder:

S.No.	DESCRIPTION	AMOUNT (Rs.)	
A	Ceiling Price for baseline survey per households excluding taxes	11	
B	Amount Quoted by bidder in the range Rs.9.5 - 11per household	₹ (In Fig)	
C	GST, Taxes & duties	% (In Fig)	
		₹ (In Fig)	0.00
D	Total amount per household survey inclusive of all taxes & duties except service tax. [D=(B+C)]	₹ (In Fig)	0.00

Remarks: Rates are to be quoted strictly on per household basis (in B).**Note:**

1. The Bidder shall quote price upto two decimal only and in the range of Rs. 9.5 to Rs 11 per household.
3. Bidders have to quote as per financial bid format as above including all taxes & duties and GST. Financial bids will be evaluated based on total price quoted. At the time of release of payment to the bidder, TDS /Labour cess will be deducted as the case may be.
4. If it is found that the tax quoted is higher than the applicable tax, in that case applicable taxes will only be paid by RECPDCL and if the tax quoted is lower than the applicable tax, in that case only the quoted taxes will be paid by the RECPDCL.
5. Rate quoted by the bidder shall remain firm & fixed and shall be binding on the Successful Bidder till completion of work. Any variation in rates, prices or terms during validity of the offer shall lead to forfeiture of the EMD/PBG of said bidder.
6. The offer must be kept valid for a period of 90 days from the last date of bid submission. No escalation clause would be accepted. The validity can be further extended with mutual consent.
7. It will be the sole responsibility of the bidders to get the assessment of Habitation and various other parameters involved & its consideration before quoting the rates.

LETTER OF TRANSMITTAL

To: [Name and address of Client]

.....

.....

.....

Dear Sir/s,

I/We, the undersigned, have examined the details given in your Notice inviting tender dated **[Insert Date]** FOR **SELECTION AGENCIES To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to Implement Jal Jeevan Mission**

We accept all the terms & conditions of the bid document without any deviation and submit the Bid. We hereby certify that M/s

_____ or its group companies have not been awarded any work for & shall not be a competitor to RECPDCL during contract period in case the contract is awarded.

Also, M/s _____ or its group companies is not executing or providing any type of consultancy services either directly or as a sub-contractor for the particular work for which Bid is submitted.

It is confirmed that M/s. _____ is not banned or blacklisted by any Govt./Pvt. Institutions in India.

Authorized Signature [In full and initials]:

Name and Title of Signatory:

Name of Firm:

Address:

BID BANK GUARANTEE (EARNEST MONEY)

(To be stamped in accordance with Stamp act)

This deed of Guarantee made this _____ day of _____ 2016 by

(Name of the Bank)

having one its branch at _____ acting through its Manager (hereinafter called the "Bank") which expression shall wherever the context so requires includes its successors and permitted assigns in favour of REC Power Distribution Company Ltd., registered under the Companies Act, 1956, having its office at

_____ (hereinafter called "RECPDCL") which expression shall include its successors and assigns.

WHEREAS RECPDCL has invited tender vide their Tender Notice No. _____

_____ Dated _____ to be opened on

_____ AND _____ WHEREAS M/s _____

(Name of Tenderer)

having its office at _____ (hereinafter called the "Tenderer"), has/have in response to aforesaid tender notice offered to supply/ do the job _____ as contained in the tender.

AND WHEREAS the Tender is required to furnish to RECPDCL a Bank Guarantee for a sum of Rs.

_____ (Rupees _____ Only) as Earnest Money for participation in the Tender aforesaid.

AND WHEREAS, we _____

(Name of Bank)

have at the request of the tenderer agree to give RECPDCL this as hereinafter contained.

NOW, THEREFORE, in consideration of the promises we, the undersigned, hereby covenant that, the aforesaid Tender shall remain open for acceptance by RECPDCL during the period of validity as mentioned in the Tender or any extension thereof as RECPDCL and the Tenderer may subsequently agree and if the Tenderer for any reason back out, whether expressly or impliedly, from his said Tender during the period of its validity or any extension thereof as aforesaid or fail to furnish Bank Guarantee for performance as per terms of the aforesaid Tender, we hereby undertake to pay RECPDCL, New Delhi on demand without demur to the extent of Rs. _____ Rupees _____ only).

We further agree as follows:-

1. That RECPDCL may without affecting this guarantee extend the period of validity of the said Tender or grant other indulgence to or negotiate further with the Tenderer in regard to the conditions contained in the said tender or thereby modify these conditions or add thereto any further conditions as may be mutually agreed to in between RECPDCL and the Tender AND the said Bank shall not

be released from its liability under these presents by an exercise by RECPDCL of its liberty with reference to the matters aforesaid or by reason of time being given to the Tenderer or any other forbearance, act or omission on the part of the RECPDCL or any indulgence by RECPDCL to the said Tenderer or any other matter or thing whatsoever.

2. The Bank hereby waive all rights at any time in consistent with the terms of this Guarantee and the obligations of the Bank in terms thereof shall not be otherwise affected or suspended by reason of any dispute or dispute having been raised by the Tenderer (whether or not pending before any arbitrator, tribunal or court) or any denial of liability by the Tenderer stopping or preventing or purporting to stop or prevent any payment by the Bank to RECPDCL in terms thereof.
3. We the said Bank, lastly undertake not to revoke this Guarantee during its currency except with the previous consent of RECPDCL in writhing and agree that any charges in the constitution, winding up, dissolution or insolvency of the Tenderer, the said Bank shall not be discharged from their liability.

NOTWITHSTANDING anything contained above, the liability of the Bank in respect of this Guarantee is restricted to the said sum of Rs. _____ (Rupees _____ only) and this Guarantee shall remain in force till _____ unless a claim under this guarantee is filed with the bank within 30 (thirty) days from this date or the extended date, as the case may be i.e. up to _____. _____ all rights under Guarantee shall lapse and the Bank be discharged from all liabilities hereunder.

In witness where of the Bank has subscribed and set its name and seal here under

Note: - The date shall be thirty (30) days after the last date for which the bid is valid.

PERFORMANCE BANK GUARANTEE

M/s REC Power Distribution Company Ltd.,
Core 4, Scope Complex, Lodhi Road,
New Delhi — 110003 (INDIA)

(With due stamp duty if applicable)

OUR LETTER OF GUARANTEE NO.: _____

In consideration of REC Power Distribution Company Ltd., having its office at _____
_____ (hereinafter referred
to as "RECPDCL" which expression shall unless repugnant to the content or meaning thereof include all
its successors, administrators and executors) and having issued BID/Work Order No.
_____ dated _____ with/on M/s
_____ (hereinafter referred to as "The Agency" which
expression unless repugnant to the content or meaning thereof, shall include all the successors,
administrators, and executors).

WHEREAS the Agency having unequivocally accepted to perform the services as per terms and
conditions given in the BID/Work Order No. _____
_____ dated _____ and RECPDCL having agreed that the Agency shall furnish
to RECPDCL a Performance Guarantee for the faithful performance of the entire contract, to the extent of
10% (ten percent) (or the percentage as per the individual case) of the value of the BID/Work Order i.e. for
_____.

We,

_____ ("The Bank") which shall include
_____ OUR
successors, administrators and executors herewith establish
an _____ irrevocable Letter of Guarantee No.
_____ in your favor for account of _____
_____ (The Agency) in cover of performance guarantee in accordance
with the terms and conditions of the BID/Work Order.

Hereby, we undertake to pay up to but not exceeding _____ (say _____
_____ only) upon receipt by us of your first written demand
accompanied by your declaration stating that the amount claimed is due by reason of the Agency having
failed to perform the BID/Work Order and despite any contestation on the part of above named-agency.

This letter of Guarantee will expire on _____ including 180 day of claim period and
any claims made hereunder must be received by us on or before expiry date after which date this Letter of
Guarantee will become of no effect whatsoever whether returned to us or not.

Authorized signature
Chief Manager/ Manager
Seal of Bank

Note: The date shall be 180 days after the date of completion of contract.

INTEGRITY PACT

(On Rs. 100 Stamp Paper)

Between

REC Power Distribution Company Limited

having its Registered Office at Core-4
Scope complex

herein after referred to as

"RECPDCL",

and

[Insert the name of the Bidder]

having its Registered Office at _____
(Insert full Address)

Hereinafter referred to as

"The Bidder"

Preamble

RECPDCL intends to engage, under laid-down organizational procedures, agency for **SELECTION AGENCIES To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to Implement Jal Jeevan Mission**

(Signature) _____ (Signature) _____
(For & On behalf of RECPDCL) (For & On behalf of Bidder)

SELECTION AGENCIES To Carry out Base Line Survey in All Gram Panchayats of 35 districts of Uttar Pradesh to Implement Jal Jeevan Mission

has relevant laws and regulations, and the principles of economical use of resources, and of fairness and transparency in its relations with its Bidders.

In order to achieve these goals, RECPDCL and the above named Bidder enter into this agreement called '**Integrity Pact**' which will form a part of the bid.

It is hereby agreed by and between the parties as under:

Section I - Commitments of RECPDCL

(1) RECPDCL commits itself to take all measures necessary to prevent corruption and to observe the following principles:

- a) No employee of RECPDCL, personally or through family members, will in connection with the tender, or take a promise for or accept, him/herself or third person, any material or other benefit which he/she is not legally entitled to. RECPDCL will, the tender process treat all Bidder(s) with and fairness. equity RECPDCL will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide Bidder(s) confidential / information through which the Bidder(s) could obtain an advantage in to any additional relation to the tender process or the contract execution.
- b) RECPDCL will, the tender process treat all Bidder(s) with and fairness. equity RECPDCL will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide Bidder(s) confidential / information through which the Bidder(s) could obtain an advantage in to any additional relation to the tender process or the contract execution.

(c) RECPDCL will exclude from evaluation of Bids its such employee(s) who has any personnel interest in the Companies/Agencies participating in the Bidding/Tendering process

(2) If Chairman RECPDCL obtains information on the conduct of any Employee of RECPDCL which is a criminal offence under the relevant Anti-Corruption Laws of India, or if there be a substantive suspicion in this regard, he will inform its Chief Vigilance Officer and in addition can initiate disciplinary actions under its Rules.

Section II - Commitments of the Bidder

(1) The Bidder commits himself to take all measures necessary to prevent corruption. He Commits himself to observe the following principles

(Signature) _____

(For & On behalf of RECPDCL)

(Signature) _____

(For & On behalf of Bidder)

during his participation in the tender process and during the contract execution:

a) The Bidder will not, directly or through any other person or firm, offer, promise or give to RECPDCL, or to any of RECPDCL's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange an advantage during the tender process or the execution of the contract.

b) The Bidder will not enter into any illegal agreement or understanding, whether formal or informal with other Bidders. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or actions to restrict competitiveness or to introduce cartelization in the bidding process.

c) The Bidder will not commit any criminal offence under the relevant Anti-corruption Laws of India; further, the Bidder will not use for illegitimate purposes or for purposes of restrictive competition or personal gain, or pass on to others, any information provided by RECPDCL as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.

d) The Bidder of foreign origin shall disclose the name and address of the Agents/representatives in India, if

any, involved directly or indirectly in the Bidding. Similarly, the Bidder of Indian Nationality shall furnish the name and address of the foreign principals, if any, involved directly or indirectly in the Bidding.

e) The Bidder will, when presenting his bid, disclose any and all payments he has made, or committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract and/or with the execution of the contract.

f) The Bidder will not misrepresent facts or furnish false/ forged documents/ information in order to influence the bidding process or the execution of the contract to the detriment of RECPDCL.

(2) The Bidder will not instigate third persons to commit offences outlined above or be an accessory to such offences.

(Signature) _____ (Signature) _____
(For & On behalf of RECPDCL) (For & On behalf of Bidder)

Section III- Disqualification from tender process and exclusion from future Contracts

(1) If the Bidder, before contract award, has committed a serious transgression through a violation of Section II or in any other form such as to put his reliability or credibility as Bidder into question, RECPDCL may disqualify the Bidder from the tender process or terminate the contract, if already signed, for such reason.

(2) If the Bidder has committed a serious transgression through a violation of Section II such as to put his

reliability or credibility into question, RECPDCL may after following due procedures also exclude the Bidder from future contract award processes. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the circumstances of the case, in particular the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder and the amount of the damage. The exclusion will be imposed for a minimum of 12 months and maximum of 3 years.

(3) If the Bidder can prove that he has restored/recouped the damage caused by him and has installed a suitable corruption prevention system, RECPDCL may revoke the exclusion prematurely.

Section IV - Liability for violation of Integrity Pact

(1) If RECPDCL has disqualified the Bidder from the tender process prior to the award under Section III, RECPDCL may forfeit the Bid Guarantee under the Bid.

(2) If RECPDCL has terminated the contract under Section III, RECPDCL may forfeit the Contract Performance Guarantee of this contract besides resorting to other remedies under the contract.

Section V- Previous Transgression

(1) The Bidder shall declare in his Bid that no previous transgressions occurred in the last 3 years with any other Public Sector Undertaking or Government Department that could justify his exclusion from the tender process.

(Signature) _____ (Signature) _____
(For & On behalf of RECPDCL) (For & On behalf of Bidder)

- (3) If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

Section VI - Equal treatment to all Bidders

- (1) RECPDCL will enter into agreements with identical conditions as this one with all Bidders.
- (2) RECPDCL will disqualify from the tender process any bidder who does not sign this Pact or violate its provisions.

Section VII - Punitive Action against violating Bidders / Contractors

If RECPDCL obtains knowledge of conduct of a Bidder or a Contractor or his subcontractor or of an employee or a representative or an associate of a Bidder or Contractor or his Subcontractor which constitutes corruption, or if RECPDCL has substantive suspicion in this regard, RECPDCL will inform the Chief Vigilance Officer (CVO)/Competent authority.

Section VIII - Pact Duration

This Pact begins when both parties have legally signed it. It expires for the Contractor after the closure of the contract and for all other Bidder's six month after the contract has been awarded.

Section IX - Other Provisions

- (1) This agreement is subject to Indian Law. Place of performance and jurisdiction is the establishment of RECPDCL. The Arbitration clause provided in the main tender document / contract shall not be applicable for any issue / dispute arising under Integrity Pact.

- (2) Changes and supplements as well as termination notices need to be made in writing.

- (3) Views expressed or suggestions/submissions made by the parties and the recommendations of the competent authority/CVO in respect of the violation of this agreement, shall not be relied on or introduced as evidence in the arbitral or judicial proceedings (arising out of the arbitral proceedings) by the parties in connection with the disputes/differences arising out of the subject contract.

(Signature) _____ (Signature) _____
(For & On behalf of RECPDCL) (For & On behalf of Bidder)

- (4) Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

(Signature) _____ (Signature) _____
(For & On behalf of RECPDCL) (For & On behalf of Bidder)

(Office Seal) (Office Seal)

Name: _____ Name: _____

Designation: _____ Designation: _____

Witness 1: _____ Witness 1: _____

(Name & Address) _____ (Name & Address) _____

Witness Witness

2: _____ 2: _____

(Name & Address) (Name & Address)

Project Completion Schedule along with Manpower Details

DETAILS OF DISTRICTS/ Households

Sr. No./ Package	Name of District	No. of Blocks	Approx. nos. of Rural House Holds
1	Auraiya	7	257545
2	Etawah	8	2958
3	Faurukhabad	7	184026
4	Kannauj	7	203281
5	Kanpur Dehat	11	38472
6	Kanpur Nagar	10	24602
7	Firozabad	10	226041
8	Agra	15	366060
9	Mainpuri	9	233896
10	Mathura	10	245346
11	Jhansi	8	208802
12	Lalitpur	6	181316
13	Jalaun	9	299169
14	Chitrakoot	5	130784
15	Banda	8	252072
16	Hamirpur	7	167870
17	Mahoba	5	113956
18	Aligarh	12	266246
19	Etah	8	186392
20	Mahamaya Nagar (Hathras)	7	244905
21	Kanshiram Nagar (Kasganj)	7	134438
22	Baghpat	6	201359
23	Bulandsahar	17	421311
24	GB Nagar	5	106205
25	Ghaziabad	5	78650
26	Meerut	12	311385
27	Hapur	4	149299
28	Bijnore	11	561383
29	Jyotibha Phule Nagar (Amroha)	7	257646
30	Moradabad	9	324443
31	Rampur	6	272893
32	Sambhal	8	278545
33	Muzaffarnagar	9	420387
34	Saharanpur	11	497986
35	Shamli	5	149577
Total		291	7999246

UNDERTAKING TOWARDS NOT BEING BLACK-LISTED

I, _____ Authorized Signatory of M/s _____
hereby give undertaking that we, as a company are not black-listed by any Central/ State
Government/ Semi-Government Organization/ Public Sector Undertaking/ Private Institution in
India.

Further, if information furnished above stands false at any stage, we shall be completely liable for
actions taken by RECPDCL as per terms & conditions of the tender including disqualification and
exclusion from future contracts/assignments etc.

(Signature of Authorized Signatory)

Name*:

Designation*:

Seal:

POWER OF ATTORNEY

(To be on non-judicial stamp paper of appropriate value as per Stamp Act relevant to place of execution.)

(a) Power of Attorney to be provided by the Bidding Company in favour of its representative as evidence of authorized signatory's authority.

Know all men by these presents, We (name and address of the registered office of the Bidding Company as applicable) do hereby constitute, appoint and authorize Mr./Ms. (name & residential address) who is presently employed with us and holding the position of as our true and lawful attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to submission of our bid for SELECTION AGENCIES To Carry out Base Line Survey In All Gram Panchayats of Uttar Pradesh To Implement Jal Jeevan MISSION in response to the NIT No. dated issued by REC Power Distribution Company Ltd. (RECPDCL), Noida including signing and submission of the Bid and all other documents related to the Bid, including but not limited to undertakings, letters, certificates, acceptances, clarifications, guarantees or any other document which RECPDCL may require us to submit. The aforesaid Attorney is further authorized for making representations to REC Power Distribution Company Ltd., Noida and providing information/responses to RECPDCL representing us in all matters before RECPDCL and generally dealing with RECPDCL in all matters in connection with Bid till the completion of the bidding process as per the terms of the above mentioned NIT.

We hereby agree to ratify all acts, deeds and things done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall be binding on us and shall always be deemed to have been done by us.

All the terms used herein but not defined shall have the meaning ascribed to such terms under the NIT.

Signed by the within named

..... (Insert the name of the executant company)

through the hand of

Mr.

duly authorized by the Board to issue such Power of Attorney

Dated this day of

Accepted

.....

Signature of Attorney

(Name, designation and address of the Attorney)

Attested

.....

(Signature of the executant)

(Name, designation and address of the executant)

.....
Signature and stamp of Notary of the place of execution

Common seal of has been affixed in my/our presence pursuant to Board of Director's Resolution dated.....

WITNESS

1.

(Signature)

Name.....

Designation

2.

(Signature)

Name.....

Designation

Notes:

The mode of execution of the power of attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and the same should be under common seal of the executant affixed in accordance with the applicable procedure. Further, the person whose signatures are to be provided on the power of attorney shall be duly authorized by the executant(s) in this regard.

The person authorized under this Power of Attorney, in the case of the Bidding Company / Lead Member being a public company, or a private company which is a subsidiary of a public company, in terms of the Companies Act, 1956, with a paid up share capital of more than Rupees Five crores, should be the Managing Director / whole time director/manager appointed under section 269 of the Companies Act, 1956. In all other cases the person authorized should be a director duly authorized by a board resolution duly passed by the Company.

Also, wherever required, the executant(s) should submit for verification the extract of the chartered documents and documents such as a Board resolution / power of attorney, in favour of the person executing this power of attorney for delegation of power hereunder on behalf of the executant(s).

Baseline Survey Format

SURVEY for Providing FHTC (Functional House Tap Connection) to each household.

	DISTRICT from List	BLOCK from List	PANCHAYAT from List
VILLAGE	from List	HABITATION	from List
If PWS Available	Name of PWS	SOURCE TYPE	ENERGY SOURCE
	From List	Ground Surface	Electric Solar Both
Drainage of Waste Water (FOR HABITATION LEVEL)			
	STATUS	DISPOSAL POINT	Distance from Habitation
	YES	POND	in Km
	NO	LAKE / River FIELD	
Household detail			
FAMILY Head	Name (As per ADHAR)	Date of Birth	Father/Husband/ Mother's Name
	GENDER	CATEGORY	Sub Category
	MALE FEMALE TransGender	BPL APL	SC ST GEN
Family Members [Including Head] Existing Drinking Water Facility	MOBILE NO	AADHAR NO	Willingness to pay WC Yes/ No
	MALE	FEMALE	TransGender
	WATER SOURCE	Who Collects Water	Average Time per day for water collection
	IM II HP Private HP Open Well/Pond PWS/Other	MALE FEMALE	in Hours Distance from HH
			in Mtrs
OTHER DETAIL	Delivery Type (If PWS)	Functional (Delivery)	TOILET [Y/N]
	FHTC PSP	Yes No	Individual Public Shared
If Not Functional, reason			
	TW Failed Pump Not working Power Disconnected	Pipeline Damaged	Less Storage